

Case Docket No. GNE.2930R1C9 Date: May 14, 2002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Botstein et al.

Appl. No.

10/033,223

Filed

December 27, 2001

For

SECRETED AND

TRANSMEMBRANE POLYPEPTIDES AND

NUCLEIC ACIDS ENCODING

THE SAME

Examiner

Unknown

Group Art Unit:

1656

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: United States Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, on

May 14, 200

neMarie Kaiser, Reg. No. 37,649

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TRANSMITTAL LETTER

United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with five (5) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

AnneMarie Kaiser Registration No. 37,649

Attorney of Record

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## N THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Examiner	:	Unknown	RECEIVED
			MAY 2 1 2002

## INFORMATION DISCLOSURE STATEMENT

**TECH CENTER 1600/2900** 

United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

AnneMarie Kaiser

Registration No. 37,649

Attorney of Record

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٠	FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GNE.2930R1C9	APPLICATION NO. 10/033,223
/	PE PROPRIATION DISCLOSURE STATEMENT		
$\langle c \rangle$	BY APPLICANT	APPLICANT Botstein et al.	
(	(USE SEVERAL SHEETS IF NECESSARY)	FILING DATE December 27, 2001	GROUP 1656
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				U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER DATE		NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)	
	1.	5,536,637	07/16/96	Jacobs				
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EXAMINER		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION
INITIAL			1		{		YES	NO

FOREIGN PATENT DOCUMENTS								
EXAMINER	D	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL							YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)				
	2. Gabrielli et al. (1995) A nuclear protein, synthesized in growth-arrested human hepatoblastoma cells, is a novel member of the short-chai dehydrogenase family. Eur. J. Biochem. 232:473-477.				
	3. Klein et al. (1996) Selection for genes encoding secreted proteins and receptors. Proc. Natl. Acad. Sci. USA 93:7108-7113.				
4. Database search, Locus list: hum (349, 801 seqs, 66, 964, 548 aa), Mon Jan 7 16:12:32 2002 [BLASTP 2		Database search, Locus list: hum (349, 801 seqs, 66, 964, 548 aa), Mon Jan 7 16:12:32 2002 [BLASTP 2.2.1 [Jul-12-2001], NCBI] 5 pp.			
	5.	Database search, Locus list: hum - est (1, 803, 435 seqs, 6, 559, 376, 613 bp), Tue Jan 8 09:14:22 2002 [BLASTN 2.2.1 [Jul-12-2001], NCBI] 17 pp.			

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	EXAMINER	DATE CONSIDERED			
- 1	*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.				